

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
NEOMTRX 4C1DV3APPLICATION NO.  
10 072.539INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

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APPLICANT  
Morton, K. et al.FILING DATE  
February 8, 2002GROUP  
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| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE       | NAME             | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
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| LAD                 | 1  | US2002/0086341  | 7/4/2002   | Nguyen, M.       | 435   | 7.23     | 7/9/2001                        |
| LAD                 | 2  | US2002/0072702  | 6/13/2002  | Quay, S.         | 604   | 74       | 11/13/2001                      |
| LAD                 | 3  | US2002/0062103  | 5/23/2002  | Larsson, et al.  | 604   | 74       | 1/22/2002                       |
| LAD                 | 4  | US2002/0058887  | 5/16/2002  | Soito, et al.    | 600   | 573      | 6/30/2001                       |
| LAD                 | 5  | US2002/0045162  | 4/18/2002  | Hung, D.         | 435   | 5        | 8/8/2001                        |
| LAD                 | 6  | US2002/0037265  | 3/28/2002  | Hung, et al.     | 424   | 70.1     | 6/8/2001                        |
| LAD                 | 7  | US2002/0019017  | 2/14/2002  | Love, et al.     | 435   | 7.23     | 4/28/1999                       |
| LAD                 | 8  | US1001/0047148  | 11/29/2001 | Sun, K.          | 604   | 74       | 4/10/2001                       |
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| LAD                 | 10 | 6,413,228       | 7/2/2002   | Hung, et al.     | 600   | 562      | 12/28/1999                      |
| LAD                 | 11 | 6,398,765       | 6/4/2002   | Hung, D.         | 604   | 284      | 2/29/2000                       |
| LAD                 | 12 | 6,391,026       | 5/21/2002  | Hung, et al.     | 606   | 41       | 7/16/99                         |
| LAD                 | 13 | 6,383,164       | 5/7/2002   | Johansen, et al. | 604   | 74       | 9/26/2000                       |
| LAD                 | 14 | 6,383,163       | 5/7/2002   | Kelly, et al.    | 604   | 74       | 5/4/99                          |
| LAD                 | 15 | 6,379,327       | 4/30/2002  | Lundy, E.        | 604   | 74       | 4/25/2001                       |
| LAD                 | 16 | 6,358,226       | 3/19/2002  | Ryan, A.         | 604   | 74       | 6/21/2000                       |
| LAD                 | 17 | 6,328,709       | 12/11/2001 | Hung, et al.     | 604   | 74       | 11/12/1999                      |
| LAD                 | 18 | 6,314,315       | 11/6/2001  | Hung, et al.     | 600   | 547      | 1/12/2000                       |
| LAD                 | 19 | 6,221,622       | 4/24/2001  | Love, S.         | 437   | 7.23     | 4/28/98                         |
| LAD                 | 20 | 6,169,779       | 1/1/2001   | Barsky, et al.   | 424   | 9.2      | 9/16/1997                       |
| LAD                 | 21 | 5,810,772       | 09/22/98   | Nieberger, A.    | 604   | 374      | 05/29/96                        |
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|                     |    |                 |           |         |       |          | YES         | NO |
| LAD                 | 23 | WO00/02766      | 12/7/2000 | PCT     | A61B  | 21       |             |    |

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|---------------------|----|-----------------|------------|---------|-------|----------|-------------|----|
|                     |    |                 |            |         |       |          | YES         | NO |
| LAD                 | 24 | WO02/38032      | 5/16/2002  | PCT     |       |          |             |    |
| LAD                 | 25 | WO00/42841      | 7/27/2000  | PCT     | A61B  |          |             |    |
| LAD                 | 26 | WO00/70349      | 11/23/2000 | PCT     | A01N  | 00       |             |    |
| LAD                 | 27 | WO00/43038      | 7/27/2000  | PCT     | G01N  | 33       |             |    |
| LAD                 | 28 | WO00/43553      | 7/27/2000  | PCT     | A61K  | 39       |             |    |
| LAD                 | 29 | WO00/39557      | 7/6/2000   | PCT     | C12Q  | 68       |             |    |
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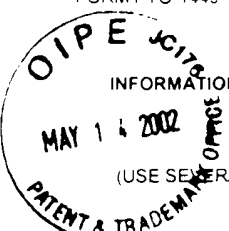
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|---------------------|-----------------|----------|--------------------|-------|----------|---------------------------------|
| RAO                 | 09-488,893      | 1-21-00  | Covington, et al   |       |          |                                 |
| RAO                 | 09-488,905      | 1-21-00  | Chandice Covington |       |          |                                 |
| RAO                 | 20010034038 A1  | 10/25/01 | Hung, D.           |       |          |                                 |
| RAO                 | 20010039015 A1  | 11-8-01  | Sauter, E.         |       |          |                                 |
| RAO                 | 20020002343 A1  | 1/3/02   | Hung, et al.       |       |          |                                 |
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| RAO                 | 20020010405 A1  | 1-24-02  | Hung, et al.       |       |          |                                 |
| RAO                 | 20020013539 A1  | 1/31-02  | Hung, D.           |       |          |                                 |
| RAO                 | 3,608,540       | 09/1971  | Sartorius          |       |          |                                 |
| RAO                 | 3,786,801       | 01/1974  | Sartorius          |       |          |                                 |
| RAO                 | 3,822,703       | 07-09-74 | Davisson           |       |          |                                 |
| RAO                 | 4,249,481       | 02-10-81 | Adams              |       |          |                                 |
| RAO                 | 4,393,811       | 07/19/83 | Bodmin             |       |          |                                 |
| RAO                 | 4,452,779       | 06/05/84 | Cockerill          |       |          |                                 |
| RAO                 | 4,542,750       | 09/1985  | Ettare             |       |          |                                 |
| RAO                 | 4,680,028       | 07/14/87 | Stuart             |       |          |                                 |
| RAO                 | 4,761,160       | 08-02-88 | Vermillion         |       |          |                                 |
| RAO                 | 4,883,464       | 11-28-89 | Morifuki           |       |          |                                 |
| RAO                 | 4,941,433       | 07-17-90 | Hanauer            |       |          |                                 |
| RAO                 | 4,964,851       | 10-23-90 | Larsson            |       |          |                                 |
| RAO                 | 5,007,899       | 04-16-91 | Larsson            |       |          |                                 |
| RAO                 | 5,049,126       | 09-17-91 | Larsson            |       |          |                                 |
| RAO                 | 5,476,492       | 12-19-95 | Unrug              |       |          |                                 |
| RAO                 | 5,482,004       | 01-09-96 | Chowdhury          |       |          |                                 |
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|  | APPLICANT<br>Morton, et al        |                              |
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| LAD                 | 5,576,329       | 11/19/96 | Hennessey         |       |          |                                 |
| LAD                 | 5,627,034       | 05/1997  | Gould et al.      |       |          |                                 |
| LAD                 | 5,645,537       | 07/08/97 | Powles et al.     |       |          |                                 |
| LAD                 | 5,664,984       | 09/09/97 | Laughridge        |       |          |                                 |
| LAD                 | 5,720,722       | 02/24/98 | Lockridge         |       |          |                                 |
| LAD                 | 5,741,649       | 04/21/98 | Inazawa           |       |          |                                 |
| LAD                 | 5,776,098       | 07/07/98 | Silver et al.     |       |          |                                 |
| LAD                 | 5,776,177       | 07/07/98 | MacWhinnie et al. |       |          |                                 |
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| LAD                 | 5,810,796       | 09/22/98 | Kimura et al.     |       |          |                                 |
| LAD                 | 5,846,739       | 12/1998  | Gould et al.      |       |          |                                 |
| LAD                 | 5,855,889       | 01/05/99 | Watson, et al.    |       |          |                                 |
| LAD                 | 5,885,246       | 03/23/99 | Ford              |       |          |                                 |
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| LAD                 | 5,902,267       | 05/1999  | Medo              |       |          |                                 |
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| LAD                 | 6,004,186       | 12/21/99 | Penny             |       |          |                                 |
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| FAO                 | WO 98/22160     | 05/28/98 | PCT     |       |          | YES NO      |

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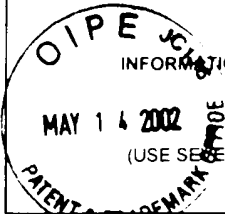
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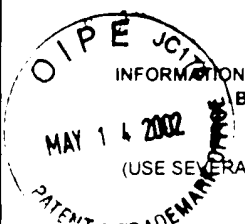
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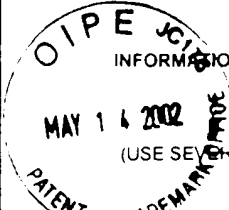
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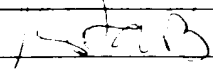
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|                     | "Final Results of Ductal Lavage Study Presented At 23 <sup>rd</sup> Annual San Antonio Breast Cancer Symposium: Pre-malignant and Malignant Cells Detected in Women at High-risk for Breast Cancer";<br><a href="http://www.ductallavage.com/Product/Text/San_Antonio_release.html">http://www.ductallavage.com/Product/Text/San_Antonio_release.html</a> |
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